

#### CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

December 30, 2003

Date

Anna M. Matthews

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/617,323

Confirmation No. 2819

**Applicant** 

Joseph S. Stam, et al.

Filed

July 10, 2003

TC/A.U.

2875

Examiner

Docket No.

**GEN10 P-349D** 

Customer No.

028,469

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Dear Sir:

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached substitute forms 1449A/PTO and 1449B/PTO. This Information Disclosure Statement is being filed more than three months after the filing date of the above referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicant

Joseph S. Stam, et al.

Appln. No.

10/617,323

Page

2

Copies of the listed foreign patent documents and non-patent literature are attached.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

The following is a concise statement of relevance of the non-English language documents listed below:

- 1. WO 99/47396 discloses a system for detecting condensation on a windshield and for controlling vehicle headlamps. The particular pertinence of WO 99/47396 is readily apparent from the English language abstract and the drawings.
- 2. The cited Japanese Patent Application Publication No. 60-015237. Specifically, this document discloses a headlight device adapted to change from a running beam to a passing beam by varying the magnetic field produced by a coil. Applicants submit that this statement is based on the description in the English abstract of this document and is not based on any other portion of the document. A copy of the English abstract is enclosed and is separately cited. The undersigned does not read Japanese and makes no representations with respect to the accuracy of the English abstract or the pertinence of the remainder of this document.

English translations of the remaining non-English documents are enclosed. Some of the English translations are mechanically generated. Applicant makes no representations as to the accuracy of those translations.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents constitute

Applicant

Joseph S. Stam, et al.

Appln. No.

10/617,323

Page

3

"prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted by,

JOSEPH S. STAM, ET AL.

Price, Heneveld, Cooper, DeWitt & Litton LLP

December 30, 2003

Date

Terry S. Callaghan

Registration No. 34,559

695 Kenmoor, S.E.

Post Office Box 2567

Grand Rapids, Michigan 49501

(616) 949-9610

TSC/amm

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 144

Complete if Known **Application Number** 10/617.323 Filing Date 07/10/2003 First Named Inventor Joseph S. Stam Art Unit 2875 **Examiner Name** 

(Use as many sheets as necessary)

Attorney Docket Number Sheet **GEN10 P-349D** 

|                    |  |   | U. S. PATENT                   | DOCUMENTS  |   |  |
|--------------------|--|---|--------------------------------|--|---|--|
| Examiner Cite No.1 |  | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                    |  | US- 6,617,564 ·   | 09/09/2003                     | Yoshioka   |   |  |
|                    |  | us- 6,507,779   | 01/14/2003                     | Breed, et al.                                      |   |  |
|                    |  | US- 6,483,438   | 11/19/2002                     | DeLine, et al.                                     |   |  |
|                    |  | us- 6,465,962 ·   | 10/15/2002                     | Fu, et al.   |   |  |
|                    |  | US- 6,442,465   | 08/29/2002                     | Breed, et al.                                      |   |  |
|                    |  | US- 6,356,376   | 03/12/2002                     | Tonar, et al.                                      |   |  |
|                    |  | <sup>us-</sup> 6,349,782 ·                                | 02/26/2002                     | Sekiya, et al.                                     |   |  |
|                    |  | US- 6,255,639   | 07/03/2001                     | Stam, et al.                                       |   |  |
|                    |  | us- 6,184,781   | 02/06/2001                     | Ramakesavan  |   |  |
|                    |  | US- 6,140,933   | 10/31/2000                     | Bugno, et al.                                      |   |  |
|                    |  | US- 6,102,546 ·   | 08/15/2000                     | Carter   |   |  |
|                    |  | US- 6,018,308   | 01/25/2000                     | Shirai   |   |  |
|                    |  | <sup>us-</sup> 5,905,457                                  | 05/18/1999                     | Rashid   |   |  |
|                    |  | US- 5,867,214   | 02/02/1999                     | Anderson, et al.                                   |   |  |
|                    |  | us- 5,845,000   | 12/01/1998                     | Breed, et al.                                      |   |  |
|                    |  | <sup>US-</sup> 5,798,727 ·                                | 08/25/1998                     | Shirai, et al.                                     |   |  |
|                    |  | US- 5,786,787 -   | 07/28/1998                     | Eriksson, et al.                                   |   |  |
|                    |  | US- 5,781,105   | 07/14/1998                     | Bitar, et al.                                      |   |  |

|           | FOREIGN PATENT DOCUMENTS |  |           |                  |                             |   |    |  |  |
|-----------|--------------------------|--|-----------|------------------|-----------------------------|---|----|--|--|
| Examiner  | Cite                     | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |           | Publication Date | Name of Patentee or         | Pages, Columns, Lines,                                | т6 |  |  |
| Initials* | No.'                     |  |           | MM-DD-YYYY       | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear |    |  |  |
|           |                          | JP ·   | 05-139203 | 06/08/1993       |                             |   | X  |  |  |
|           |                          | JP ·   | 06-151067 | 05/31/1994       |                             |   | X  |  |  |
|           |                          | JР ·   | 6-267304  | 09/22/1994       |                             |   | X  |  |  |
|           |                          | JP ·   | 6-295601  | 10/21/1994       |                             |   | X  |  |  |
|           |                          | JP ·   | 57-44541  | 03/13/1982       |                             |   | X  |  |  |

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |
|           |            |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Applicant is to place a check mark here if English language Translation is Marked. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of quired to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/617.323 Filing Date 07/10/2003 First Named Inventor Joseph S. Stam Art Unit 2875 **Examiner Name** 

(Use as many sheets as necessary)

Attorney Docket Number Sheet **GEN10 P-349D** 

|                       |                          |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | US- 5,751,832   | 05/12/1998                     | Panter, et al.                                     |   |
|                       |                          | US- 5,736,816   | 04/07/1998                     | Strenke, et al.                                    |   |
|                       |                          | US- 5,714,751   | 02/03/1998                     | Chen   |   |
|                       |                          | us- 5,710,565   | 01/20/1998                     | Shirai, et al.                                     |   |
|                       |                          | US- 5,684,473   | 11/04/1997                     | Hibino, et al.                                     |   |
|                       |                          | <sup>US-</sup> 5,621,460                                  | 04/15/1997                     | Hatlestad, et al.                                  |   |
|                       |                          | <sup>US-</sup> 5,592,146                                  | 01/07/1997                     | Kover, Jr.   |   |
|                       |                          | US- 5,587,929   | 12/24/1996                     | League, et al.                                     |   |
|                       |                          | <sup>US-</sup> 5,574,463                                  | 11/12/1996                     | Shirai, et al.                                     | *   |
|                       |                          | US- 5,554,912 ·   | 09/10/1996                     | Thayer, et al.                                     |   |
|                       |                          | US- 5,541,724 ·   | 09/19/1996                     | Hoashi   |   |
|                       |                          | <sup>US-</sup> 5,485,155                                  | 01/16/1996                     | Hibino   |   |
|                       |                          | US- 5,481,268   | 01/02/1996                     | Higgins  |   |
|                       |                          | us- 4,479,173   | 12/26/1995                     | Yoshioka, et al.                                   |   |
|                       |                          | <sup>US-</sup> 5,475,441                                  | 12/12/1995                     | Parulski, et al.                                   | ,   |
|                       |                          | US- 5,452,004   | 09/19/1995                     | Roberts  |   |
|                       |                          | US- 5,430,450 ·   | 07/04/1995                     | Holmes   |   |
|                       |                          | <sup>US-</sup> 5,428,464 ·                                | 06/27/1995                     | Silverbrook  |   |

|                       | FOREIGN PATENT DOCUMENTS |      |  |                             |  |   |                |  |  |
|-----------------------|--------------------------|------|--|-----------------------------|--|---|----------------|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> |      | atent Document  1e 3-Number 4-Kind Code 5 (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |  |
| •                     |                          | JP   | 60-15237   | 01/25/1985                  |  |   |                |  |  |
|                       |                          | JР   | 7-47878  | 02/21/1995                  |  |   | X              |  |  |
|                       |                          | JP · | 7-052706   | 02/28/1995                  |  |   | X              |  |  |
|                       |                          | JP · | 7-69125  | 03/14/1995                  |  |   | X              |  |  |
|                       |                          | UK · | 2 313 973 E  | 11/03/1981                  |  |   |                |  |  |

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |
|           |            |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/617,323

 Filing Date
 07/10/2003

 First Named Inventor
 Joseph S. Stam

 Art Unit
 2875

 Examiner Name

(Use as many sheets as necessary)

Sheet 3 of 7 Attorney Docket Number GEN10 P-349D

|                       |                          |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | <sup>US-</sup> 5,402,170                                  | 03/28/1995                     | Parulski, et al.                                   |   |
|                       |                          | <sup>US-</sup> 5,396,054                                  | 03/07/1995                     | Krichever, et al.                                  |   |
|                       |                          | <sup>US-</sup> 5,379,104                                  | 01/03/1995                     | Takao  |   |
|                       |                          | us- 5,355,146 .   | 10/11/1994                     | Chiu, et al.                                       |   |
|                       |                          | <sup>US-</sup> 5,347,459                                  | 09/13/1994                     | Greenspan, et al.                                  |   |
|                       |                          | US- 5,347,261   | 09/13/1994                     | Adell  |   |
|                       |                          | <sup>US-</sup> 5,096,287                                  | 03/17/1992                     | Kakinami, et al.                                   |   |
|                       |                          | <sup>US-</sup> 5,008,946                                  | 04/16/1991                     | Ando   |   |
|                       |                          | us- 4,934,273 ·   | 06/19/1990                     | Endriz   |   |
|                       |                          | <sup>US-</sup> 4,716,298 ·                                | 12/29/1987                     | Etoh   |   |
|                       |                          | <sup>US-</sup> 4,645,975                                  | 02/24/1987                     | Meitzler, et al.                                   |   |
|                       |                          | US- 4,286,308   | 08/25/1981                     | Wolff  |   |
|                       | <u> </u>                 | <sup>US-</sup> 4,258,979                                  | 03/31/1981                     | Mahin  |   |
|                       |                          | <sup>US-</sup> 4,151,526                                  | 04/24/1979                     | Hinachi, et al.                                    |   |
|                       |                          | US- 3,663,819   | 05/15/1972                     | Hicks, et al.                                      |   |
|                       |                          | US- 3,581,276 ·   | 05/21/1971                     | Newman   |   |
|                       |                          | US- 5,707,129   | 01/13/1998                     | Kobayashi  |   |
|                       |                          | <sup>US-</sup> 5,483,346                                  | 01/09/1996                     | Butzer   |   |

|                       | FOREIGN PATENT DOCUMENTS |    |   |            |                                |  |   |                |  |
|-----------------------|--------------------------|----|---|------------|--------------------------------|--|---|----------------|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> |    | Patent Document  ode <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> (if known) |            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Τ <sup>6</sup> |  |
|                       |                          | JP | 60-015237   |            | 01/25/1985                     |  | Abstract  | X              |  |
|                       |                          | WO | 86/05147  | A1         | 09/12/1986                     | Flaaten, et al.                                    |   |                |  |
|                       |                          | DE | 29 46 561   | <b>A</b> 1 | 05/27/1981                     | Bosch, et a.                                       |   | X              |  |
|                       |                          | JP | Heisei 8-166221   |            | 06/25/1996                     | Suzuki   |   | X              |  |
|                       |                          | FR | 2 641 237   | <b>A</b> 1 | 07/06/1990                     | Woerner  |   | X              |  |

| Examiner  | Date           |
|-----------|----------------|
|           |                |
| Signature | Considered     |
| Signature | I Considered 1 |
| -         |                |
|           |                |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it contains a valid OMB control number. JAN 0 2 2004 Under the Paperwork Reduction Act of 19\$

Substitute for form 1449A/PTO

Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 10/617,323 Filing Date 07/10/2003 First Named Inventor Joseph S. Stam Art Unit 2875 **Examiner Name** Attorney Docket Number **GEN10 P-349D** 

Complete if Known

|                       |                          |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | US- 5,166,681   | 11/24/1992                     | Bottesch, et al.                                   |   |
|                       |                          | <sup>US-</sup> 3,179,845                                  | 04/20/1965                     | Kulwiec  |   |
|                       |                          | US- 2,131,888   | 10/04/1938                     | Harris   |   |
|                       |                          | <sup>US-</sup> 6,611,610                                  | 08/26/2003                     | Schofield, et al.                                  |   |
|                       |                          | US- 6,396,397   | 05/28/2002                     | Bos, et al.  | . "   |
|                       |                          | <sup>US-</sup> 6,097,023                                  | 08/01/2000                     | Schofield, et al.                                  |   |
|                       |                          | <sup>US-</sup> 6,008,486                                  | 12/28/1999                     | Stam, et al.                                       |   |
|                       |                          | US- 5,990,469   | 11/23/1999                     | Bechtel, et al.                                    |   |
|                       |                          | <sup>US-</sup> 5,942,853                                  | 08/24/1999                     | Piscart  |   |
|                       |                          | <sup>US-</sup> 5,923,027                                  | 07/13/1999                     | Stam, et al.                                       |   |
|                       |                          | US- 5,841,126   | 11/24/1998                     | Fossum, et al.                                     |   |
|                       |                          | <sup>US-</sup> 5,837,994                                  | 11/17/1998                     | Stam, et al.                                       |   |
|                       |                          | <sup>US-</sup> 5,796,094                                  | 08/18/1998                     | Schofield, et al.                                  |   |
|                       |                          | <sup>US-</sup> 5,715,093                                  | 02/03/1998                     | Schierbek, et al.                                  |   |
|                       |                          | US- 5,666,028   | 09/09/1997                     | Bechtel, et al.                                    |   |
|                       |                          | US- 5,660,454   | 08/26/1997                     | Mori, et al.                                       |   |
|                       |                          | US- 5,614,788   | 03/25/1997                     | Mullins, et al.                                    |   |
|                       |                          | <sup>US-</sup> 5,550,677                                  | 08/27/1996                     | Schofield, et al.                                  |   |

|                       | FOREIGN PATENT DOCUMENTS |    |  |            |                                |  |   |                |  |
|-----------------------|--------------------------|----|--|------------|--------------------------------|--|---|----------------|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> |    | tent Document  3-Number 4-Kind Code 5 (if known) |            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |
|                       |                          | FR | 2 726 144  | <b>A</b> 1 | 04/20/1996                     | Albou  |   | X              |  |
|                       |                          | WO | 99/47396   | A1         | 09/23/1999                     | Michenfelder, et al.                               |   |                |  |
|                       |                          | WO | 00/22881   | A2         | 04/20/2000                     | Hill, et al.                                       |   |                |  |
|                       |                          |    |  |            |                                |  |   |                |  |
|                       |                          |    |  |            |                                |  |   | Г              |  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, n

JAN 0 2 200 sons are require

ond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complet if Known Application Number 10/617.323 Filing Date 07/10/2003 First Named Inventor Joseph S. Stam Art Unit 2875 **Examiner Name** 

(Use as many sheets as necessary)

Attorney Docket Number GEN10 P-349D Sheet

|                    |              |   | U. S. PATENT                   | DOCUMENTS  |   |
|--------------------|--------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                    |              | us- 5,537,003   | 07/16/1996                     | Bechtel, et al.                                    |   |
|                    |              | US- 5,508,592   | 04/16/1996                     | Lapatovich, et al.                                 |   |
|                    |              | US- 5,471,515   | 11/28/1995                     | Fossum, et al.                                     |   |
|                    |              | US- 5,451,822 ·   | 09/19/1995                     | Bechtel, et al.                                    |   |
|                    |              | us- 5,426,294 ·   | 06/20/1995                     | Kobayashi, et al.                                  |   |
|                    |              | US- 5,416,318   | 05/16/1995                     | Hegyi  |   |
|                    |              | US- 5,329,206   | 07/12/1994                     | Slotkowski, et al.                                 |   |
|                    |              | US- 5,235,178   | 08/10/1993                     | Hegyi  |   |
|                    |              | us- 5,124,549   | 06/23/1992                     | Michaels, et al.                                   |   |
|                    |              | US- 5,036,437   | 07/30/1991                     | Macks  |   |
|                    |              | <sup>US-</sup> 4,967,319                                  | 10/30/1990                     | Seko   |   |
|                    |              | us- 4,930,742 `   | 06/05/1990                     | Schofield, et al.                                  |   |
|                    |              | us- 4,891,559 `   | 01/02/1990                     | Matsumoto, et al.                                  |   |
|                    |              | US- 4,862,037   | 08/29/1989                     | Farber, et al.                                     |   |
|                    |              | <sup>US-</sup> 4,692,798                                  | 09/08/1987                     | Seko, et al.                                       |   |
|                    |              | <sup>US-</sup> 2,827,594 -                                | 03/18/1958                     | Rabinow  |   |
|                    |              | US- 2,632,040   | 03/17/1953                     | Rabinow  |   |
|                    |              | US- 6,130,421   | 10/10/2000                     | Bechtel, et al.                                    |   |

|                       | FOREIGN PATENT DOCUMENTS |  |                             |  |   |                |
|-----------------------|--------------------------|--|-----------------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | τ <sup>e</sup> |
|                       |                          |  |                             |  |   |                |
|                       |                          |  |                             |  |   |                |
|                       |                          |  |                             |  |   |                |
|                       |                          |  |                             |  |   | Г              |
|                       |                          |  |                             |  |   | 1              |

| TANK TO THE PARTY OF THE PARTY | the state of the s |  |
|---|--|--|
| Examiner<br>Signature   | Date<br>Considered   |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/617.323

 Filing Date
 07/10/2003

 First Named Inventor
 Joseph S, Stam

 Art Unit
 2875

 Examiner Name
 Attorney Docket Number

Sheet 6 of 7 Attorney Docket Number GEN10 P-349D

|                       |                          |   | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | us- 6,049,171   | 04/11/2000                     | Stam, et al.                                       |   |
|                       |                          | <sup>US-</sup> 5,912,534                                  | 06/15/1999                     | Benedict   |   |
|                       |                          | US- 5,812,321 ·   | 09/22/1998                     | Schierbeek, et al.                                 |   |
|                       |                          | US- 5,811,888   | 09/22/1998                     | Hsieh  |   |
|                       |                          | US- 5,434,407   | 07/18/1995                     | Bauer, et al.                                      |   |
|                       |                          | <sup>US-</sup> 5.187.383                                  | 02/16/1993                     | Taccetta, et al.                                   |   |
|                       |                          | US- 5,182,502   | 01/26/1993                     | Slotkowski, et al.                                 |   |
|                       |                          | <sup>US-</sup> 5,086,253                                  | 02/04/1992                     | Lawler   | -   |
|                       |                          | US- 5,072,154   | 12/10/1991                     | Chen   |   |
|                       |                          | <sup>US-</sup> 4,768,135                                  | 08/30/1988                     | Kretschmer, et al.                                 |   |
|                       |                          | 4,727,290   | 02/23/1988                     | Smith, et al.                                      |   |
|                       |                          | US- 4,665,321   | 05/12/1987                     | Chang, et al.                                      |   |
|                       |                          | <sup>US-</sup> 4,599,544                                  | 07/08/1986                     | Martin   |   |
|                       |                          | <sup>US-</sup> 4,376,909.                                 | 03/15/1983                     | Tagami, et al.                                     |   |
|                       |                          | us- 4,357,558 ·   | 11/02/1982                     | Massoni, et al.                                    |   |
|                       |                          | US- 4,236,099 .   | 11/25/1980                     | Rosenblum  |   |
|                       |                          | <sup>US-</sup> 4,139,801                                  | 02/13/1979                     | Linares  |   |
|                       |                          | <sup>US-</sup> 6,144,158                                  | 11/07/2000                     | Beam   |   |

|                       | FOREIGN PATENT DOCUMENTS |   |                             |  |   |                |  |
|-----------------------|--------------------------|---|-----------------------------|--|---|----------------|--|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document  Country Code <sup>3</sup> —Number <sup>4</sup> —Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |
|                       |                          |   |                             |  |   |                |  |
| •                     |                          |   |                             |  |   |                |  |
| -                     |                          |   |                             |  |   |                |  |
|                       |                          |   |                             |  |   |                |  |
|                       |                          |   |                             |  |   |                |  |

| _         |                                       |            |  |
|-----------|---------------------------------------|------------|--|
| Examiner  | · ·                                   | Date       |  |
|           | · · · · · · · · · · · · · · · · · · · |            |  |
| Signature | ì                                     | Considered |  |
| Oignature |                                       | Considered |  |
|           |                                       |            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act 10,995, no persons are equired to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/617,323

 Filing Date
 07/10/2003

 First Named Inventor
 Joseph S. Stam

 Art Unit
 2875

 Examiner Name
 Attorney Docket Number

Sheet 7 of 7 Attorney Docket Number GEN10 P-349D

| Examiner<br>Initials* | Cite<br>No.1                                     | Document Number                          | Publication Date<br>MM-DD-YYYY        | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--|--|---------------------------------------|--|---|
|                       | -  | Number-Kind Code <sup>2 (if known)</sup> |                                       |  | rigules Appeal  |
|                       |  | <sup>US-</sup> 6,469,739                 | 10/22/2002                            | Bechtel, et al.                                    |   |
|                       |  | US- 5,426,294 ·                          | 06/20/1995                            | Bechtel, et al.                                    |   |
|                       |  | US- 5,451,822                            | 09/19/1995                            | Bechtel, et al.                                    |   |
|                       |  | <sup>US-</sup> 4,891,559                 | 01/02/1990                            | Matsumoto, et al.                                  |   |
|                       |  | US- 6,379,013                            | 04/30/2002                            | Bechtel, et al.                                    |   |
|                       |  | <sup>US-</sup> 6,049,171                 | 04/11/2000                            | Stam, et al.                                       |   |
|                       |  | US- 6.281.632                            | 08/28/2001                            | Stam, et al.                                       |   |
|                       |  | US- 5,837,994                            | 11/17/1998                            | Stam, et al.                                       |   |
|                       |  | US-                                      |                                       |  |   |
|                       |  | US-                                      |                                       |  |   |
|                       | <b> </b>   | US-                                      |                                       |  |   |
|                       |  | US-                                      |                                       |  |   |
|                       | İ  | US-                                      |                                       |  |   |
|                       |  | US-                                      |                                       |  |   |
| •                     |  | US-                                      | · · · · · · · · · · · · · · · · · · · |  |   |
|                       | <del>                                     </del> | US-                                      |                                       |  |   |
|                       | <b>†</b>   | US-                                      |                                       |  |   |
|                       | İ  | US-                                      |                                       | 1  |   |

|                    | FOREIGN PATENT DOCUMENTS |  |                             |  |   |                |  |
|--------------------|--------------------------|--|-----------------------------|--|---|----------------|--|
| Examiner Initials* | Cite<br>No.1             | Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Τ <sup>6</sup> |  |
|                    |                          |  |                             |  |   |                |  |
|                    |                          |  |                             |  |   |                |  |
|                    |                          |  |                             |  |   |                |  |
|                    |                          |  |                             |  |   |                |  |
|                    |                          |  |                             |  |   | T              |  |

| Examiner  | Date       | <u> </u> |
|-----------|------------|----------|
| Signature | Considered |          |
|           |            |          |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submittening the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

of Allediction 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| . \2                        | - 9 |
|-----------------------------|-----|
| Substitute for form NOB/PTO |     |

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1

|                        | Complete if Known |
|------------------------|-------------------|
| Application Number     | 10/617,323        |
| Filing Date            | 07/10/2003        |
| First Named Inventor   | Joseph S. Stam    |
| Art Unit               | 2875              |
| Examiner Name          |                   |
| Attorney Docket Number | GEN10 P-349D      |

|                       |              | NON PATENT LITERATURE DOCUMENTS   |    |
|-----------------------|--------------|---|----|
| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
|                       |              | CHRISTOPHER M. KORMANYOS, "HID System with Adaptive Vertical Aim Control," SAE Paper No. 980003, p. 13-18,  |    |
|                       |              | FRANZ-JOSEF KALZE, "Xenon Light for Main and Dipped Beam," SAE Paper No. 980005, Society of Automotive Engineers, Inc., p. 23-26, (December 30, 1998).  |    |
|                       | ,            | J.P. LOWENAU, ET AL., "Adaptive Light Control - A New Ligth Concept Controlled by Vehicle Dynamics and Navigation," SAE Paper No. 980007, p. 38,  |    |
|                       |              | TOHRU SHIMIZU, HIDEHARU KATO, MICHAEL KRUL, "Development of PWM DRL with Low RF Emissions and Low Heat," SAE Paper No. 980322, p. 113-117,  |    |
|                       |              |   |    |
|                       |              |   |    |
|                       |              |   |    |
|                       |              |   |    |
|                       |              |   |    |
|                       |              |   |    |

| Examiner  | Date    |       |
|-----------|---------|-------|
| Signature | Conside | red . |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.